

Request Letter for activation of Dormant Trading Account

Date: _____

To:

M/s MSB e-Trade Securities Limited

A-17, Upper Ground Floor, Pushpanjali Enclave, Pitampura, Delhi-110034

Dear Sir,

SUB: Request for reactivation of the Trading Account.

Ref: Client / Trading Account No: _____

I/We, having the above referred Trading Account maintained with you based on duly filled and signed Client Registration Form along with the relevant KYC supporting documents provided by me/us at the time of Opening the Trading Account. Due to some unavoidable reasons, I/we could not place/execute any trades/orders in the said account, since last 12 months, resulting in the account been kept under "Inactive" mode at your end as "Dormant Account". However, I/we have decided to start the trading activity and hence request you to kindly "Reactivate" the Account to enable us to trade in the account.

1. I request you to activate my/our Trading Account for the following Trading Segments: (Please sign for the segments you want to activate)

Exchanges	NSE, BSE & MSEI			MCX, NCDEX, NSE, BSE
All Segments	Cash/Mutual Fund	F & O	Currency	Commodity Derivatives
If you do not wish to trade in any of segment/Mutual Fund, Please mention here:				

2. I am enclosing here with the proof for latest financial information. (**Compulsory for activation for derivatives trading**)

- Last Six Months Bank Statement Networth Certificate Latest IT Return
 Latest DP Holding Statement Form 16/ Latest Salary Slip Latest Balance Sheet

3. Gross Annual Income Details (Income Range per annum):

- Below ₹. Rs. 1 Lakh ₹. 1 Lakh to 5 Lakh ₹. 5 Lakh to 10 Lakh
 ₹. 10 Lakh to 25 Lakh ₹. 25 Lakh to 1 Crore ₹. > 1 Crore

4. I have gone through the current Member / Client Agreements, RDD, Disclosures and Policies and Procedures etc. available in your web-site as applicable to current trading practices and I agree to abide by the same.

5. I confirm that there is no change in my/our key KYC details like, Address, PAN Number, ID Proof, and Telephone Number, Depository and Bank Account for all Pay-in and Pay-out obligations etc.,

OR

- Changes in the KYC details are separately given for updation along with required document proof.

***(APPLICATION FOR CHANGES IN CLIENT MASTER (KRA / MSB e-Trade Securities Limited (TRADING & DP))**

6. Bank Account Details (**Only if there is change or additional bank is to be added**)
Copy of the cancelled Cheque leaf (for IFSC/MICR number) along with the latest transaction statement or Pass book and/or Banker's letter for having the Account

Bank Name	Branch Address	Bank Account no.	A/c Type	IFSC & MICR	
				IFSC	
				MICR	

7. Demat Account Details (**Only if there is change or additional bank is to be added**):
(Copy of Client Master / Latest Transaction Statement, where the Client name appears)

Depository Participant Name	Depository Name	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

8. I / We are enclosing herewith the cheque for the outstanding amount over due in my account up to date. Cheque No.....datefor Rs.....drawn onBank.

I/We authorize you to debit the charges, if any, to our Trading account maintained with you. Hope the above documents are in line with your need and in case of any further information / details / documentation; I give my commitment to adhere to the same. Hence, request you to do the needful and communicate the same to me/us at the earliest.

I/We hereby declare that the details furnished above furnished are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading, I/We am/are aware that I/We be held liable for it. In case of any further information / details / documentation required by you; I/We give my/our commitment to adhere to the same.

Name (s) of the Client/Authorised Signatory

Signature of Client /Authorised Signatory  (with rubber stamp in case of Non-Individual)
Date: / / Place:

➤ **Note: Please provide a self-attested PAN CARD photo copy**

For Office Use Only

	<u>Documents Accepted by:</u>	<u>Documents Verified by:</u>
Branch Code (if applicable)		
Name of the Employee		
Employee Code		
Designation of the Employee		<u>Activation Done by:</u>
Date		
Signature		

Annexure I
Undertaking for reactivation of client trading account

Date: _____

To
M/s MSB e-Trade Securities Limited
A-17, Upper Ground Floor, Pushpanjali Enclave,
Pitampura, Delhi-110034

Dear Sir,

Subject: My/Our request for reactivation of client trading account in commodity derivatives on MCX/NCDEX/NSE/BSE

I/We _____ (name of the client), have trading account with Unique Client Code _____ allotted to me/us by your office situated at _____ (branch name) since _____ (date of activation of the account).

I/We have not been trading in commodity derivative contracts on MCX/NCDEX/NSE/BSE trading platform since _____ (last trade date). However, I/we am/are desirous of trading again in commodity derivative contracts on MCX/NCDEX/NSE/BSE platform.

I/We hereby confirm and undertake that:

1. I/We have completed all the KYC formalities and submitted all the required documents thereof at the time of opening the trading account originally and enrolling as a client with you.
2. There is no material change in the information viz., address, bank account, PAN no. etc. as contained in the documents submitted originally at the time of opening trading account with you.

I/We, therefore request you to reactive my/our trading account and allow trading with immediate effect.

Yours faithfully,



(Name and Signature of the client)

Name of the Client:

UCC code :

Annexure A

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)

1. Your trading account has a "Unique Client Code" (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

 _____

Name of the Client

Date:

Place:

CKYC Download/retrieve Consent

Date:

To
MSB e-Trade Securities Limited
A-17, Upper Ground Floor, Pushpanjali Enclave,
Pitampura, Delhi-110034

Subject: CKYC Download/retrieve Consent

Sir,

I/We _____
submitting my/our application / form to opening of Trading account / Demat Account / KRA /
CKYC (New or Modification) through physical form or eKYC.

I/We hereby give my/our explicit consent to download / retrieve the KYC records or information
or any other additional identifications documents or details from CKYCR. (Central KYC Records
Registry).

Kindly accept my/our consent and do the needful.

Thanks & Regards

 _____
Signature of Sole/First Holder

 _____
Signature of Second Holder

 _____
Signature of Third Holder